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# ROBUSTNESS PROPERTIES OF THE 4-OPTIMAL LATERAL AUTOPILOT OF PI TYPE

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**Abstract**—This paper deals with the design of the  $\ell_1$ -optimal digital autopilot needed to control of the roll for an aircraft under an arbitrary unmeasured disturbances. This autopilot has to achieve a desired lateral motion control via minimizing the upper bound on the absolute value of the difference between the given and true roll angles. It is ensured by means of the two digital  $\ell_1$ -optimal controllers of PI type. The main result consists in establishing the fact that the autopilot can be robust in the presence of parametric and nonparametric uncertainties.

**Index Terms**—Aircraft; lateral dynamics; digital control system; discrete time; stability;  $\ell_l$ -optimization; robustness.

#### I. INTRODUCTION

The problem of efficiently controlling the motion of an aircraft in a non-stationary environment capable to ensure its high performance index is important enough from the practical point of view [1]. To solve this problem, the different approaches based on the modern control theory, including adaptive and robust control, neural networks, etc., have been reported by many researches [2] - [7].

One of the efficient methods devised in the modern control theory for rejecting any unmeasured disturbance is based on the  $l_1$ -optimization concept [8] - [10] applicable to discrete-time control systems. Recently, this concept has been utilized in [11] to design the digital lateral autopilot of the PI type for an aircraft capable to cope with a gust.

In order to implement the l<sub>1</sub>-optimization of any digital controller, we need the information with respect to the dynamics model of a plant to be controlled including its structure and parameters. In practice, however, it may not be available in full detail. In this real situation, the following two questions naturally arise. First, is the l<sub>1</sub>-optimal PI controller designed via the use a priori knowledge of the so-called nominal lateral dynamics model robust? Second, how sensitive is this controller to variations of the sampling period?

This paper extends the approach which we have first reported in [11] to deal with the l<sub>1</sub>-optimal autopilot for the lateral motion control. But, in contrast with [11], the aileron servo dynamics are taken into account to ensure the stability of closed loop. The main effort is focused on studying the robustness

properties of this autopilot to parametric and nonparametric uncertainties.

#### II. PROBLEM STATEMENT

Let  $\dot{\gamma}(t)$  and  $\xi(t)$  denote the roll rate angle and the aileron deflection of an aircraft, respectively, at a time t. According to [12, chap. 3] the lateral dynamics equation of an aircraft derived from the linearized lateral equation of the aircraft motion can be described by the continuous-time transfer function

$$W_{\xi}(s) = \frac{1}{s}\tilde{W}_{\xi}(s) = \frac{K_{\xi}}{s(T_{\xi}s+1)},$$
 (1)

where  $K_{\xi}$  and  $T_{\xi}$  are the aerodynamic derivatives (more certainly,  $T_{\xi}$  is the damping derivative in the roll channel and  $K_{\xi}$  is the roll moment).

As in [12, chap. 4], it is assumed that continuous-time transfer function describing the aileron servo dynamics is

$$W_{\rm S}(s) = \frac{K_{\rm S}}{T_{\rm S}s + 1},\tag{2}$$

where  $K_{\rm S}$  and  $T_{\rm S}$  are its gain and time constant, respectively.

Define by d(t) an external signal (in particular, a gust) disturbing the angular velocity  $\dot{\gamma}$ . This signal plays a role of some unmeasurable arbitrary disturbance. Without loss of generality, it is assumed that it has to be upper bounded in modulus. This implies that

$$|\dot{d}(t)| \le C_{\dot{d}} < \infty. \tag{3}$$

Suppose that  $K_{\xi}$ ,  $K_0$ ,  $K_S$ ,  $T_{\xi}$ ,  $T_S$  in (1) and (2) are known, whereas  $C_d$  in (3) may be unknown, in general.

Defining now the output error e(t) as

$$e(t) = \gamma^{0}(t) - \gamma(t), \tag{4}$$

where  $\gamma^0(t)$  denotes the desired roll orientation at the time t, introduce the performance index of the control system to be designed in the form

$$J := \lim_{t \to \infty} \sup |\gamma^{0}(t) - \gamma(t)|. \tag{5}$$

The aim of the controller design may be written as the requirement

$$\lim_{t \to \infty} \sup |e(t)| \to \inf_{\{u(t)\}},\tag{6}$$

where (4) and (5) have been utilized. The controller satisfying (6) is called optimal.

The question that we need to answer in this paper is as follows. Can this controller be robust?

#### III. DIGITAL LATERAL AUTOPILOT DESIGN

# A. Control strategy

To implement the controller design concept proposed in this paper, two feedback loops similar to that in [11], [12] are incorporated in the autopilot system, as shown in Fig. 1. But, in contrast with [12], they are designed as the discrete time closed-loop control circuits using two separate controllers. To this end, two samplers are incorporated in the feedback loops; see Fig. 1. These samplers are needed in order to convert analogue signals  $\dot{\gamma}(t)$  and  $\gamma(t)$  in digital form at each *n*th time instant  $t = nT_0$  (n = 0, 1, 2,...) to producing the discrete-time signals  $\dot{\gamma}(nT_0)$  and  $\gamma(nT_0)$ , respectively, with the sampling period  $T_0$ . On the other hand, the signal  $u(nT_0)$  formed by digital controller at the same time instant converts to analogue form u(t) using the so-called zero-order hold (ZOH) [13]. This makes it possible to represent the control input, u(t) as follows:

$$u(t) = u(nT_0)$$
 for  $nT_0 \le t < (n+1)T_0$ .

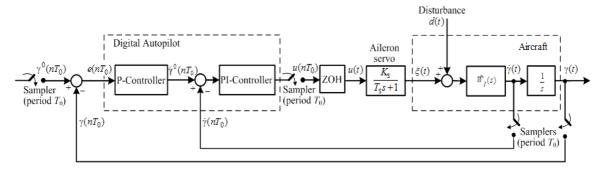


Fig. 1. Configuration of digital control system

The aim of the inner control loop exploiting the discrete-time PI control is to stabilize the roll rate  $\dot{\gamma}(nT_0)$  at a given value,  $\dot{\gamma}^0(nT_0)$ , which is the output of the external control loop, as shown in Fig. 1. The feedback control law of this digital controller is

$$u(nT_0) = k_p^{\text{in}} e_{\dot{\gamma}}(nT_0) + k_i^{\text{in}} \sum_{i=0}^n e_{\dot{\gamma}}(iT_0), \qquad (7)$$

where  $e_{\dot{\gamma}}(nT_0)$ , is the deflection of the true angular velocity,  $\dot{\gamma}(nT_0)$ , from a given angular velocity,  $\dot{\gamma}^0(nT_0)$ , at the time instant  $t = nT_0$  given by

$$e_{\dot{\gamma}}(nT_0) = \dot{\gamma}^0(nT_0) - \dot{\gamma}(nT_0),$$
 (8)

and  $k_{\rm P}^{\rm in}$  and  $k_{\rm I}^{\rm in}$  represent its parameters.

The sampled-data transfer function of the PI controller derived from (7) is determined as follows:

$$C^{\text{in}}(z) := \frac{U(z)}{E_{\alpha}(z)} = k_{\text{P}}^{\text{in}} + \frac{k_{\text{I}}^{\text{in}} z}{z - 1}, \tag{9}$$

where  $U(z) := Z\{u(nT_0)\}$  and  $E_{\dot{\gamma}} := Z\{e_{\dot{\gamma}}(nT_0)\}$  are the Z-transforms of  $\{u(nT_0)\}$  and  $e_{\dot{\gamma}}(nT_0)$ , respectively.

The external feedback loop which contains the usual P controller is used to stabilize the roll angle,  $\gamma(nT_0)$  around the desired value,  $\gamma^0(nT_0)$  Its control law is defined by

$$\dot{\gamma}^{0}(nT_{0}) = k_{\rm p}^{\rm ex} e_{\gamma}(nT_{0}) \tag{10}$$

together with the output error

$$e(nT_0) = \gamma^0(nT_0) - \gamma(nT_0),$$

where  $\gamma^0(nT_0)$  and  $\gamma(nT_0)$  are a desired and true roll

orientation at the time instant  $t = nT_0$ , respectively. Then the sampled-data transfer function corresponding to (10) will be determined as

$$C^{\text{ex}}(z) = k_{\text{p}}^{\text{ex}}. \tag{11}$$

### B. Stability analysis

Inspecting Fig. 1 and recalling the notations (1), (2) and (9), one gets the discrete-time transfer function of inner feedback loop from  $\dot{\gamma}^0$  to  $\dot{\gamma}$  as

$$H^{\rm in}(z) = \frac{C^{\rm in}(z)W_{\rm S}W_{\xi}(z)}{1 + C^{\rm in}(z)W_{\rm S}W_{\xi}(z)},$$
 (12)

where  $W_S W_{\xi}(z) = (1 - z^{-1}) Z \{ L^{-1} \{ W_S(s) W_{\xi}(s) \}_{t=nT_0} \}$  [14].

By applying the stability results with respect to the three-order control system which can be found in [15, subsect. 1.12], to the denominator of  $H^{\rm in}(z)$  in (12) we derive the conditions guaranteeing the stability of inner closed loop. It turned out that the set  $\Omega^{\rm in}$  of pairs  $\left(k_{\rm P}^{\rm in}, k_{\rm I}^{\rm in}\right)$  under which the inner loop will be stable is bounded.

To study the stability of the external closed loop, we again inspect Fig. 1 to obtain the discrete-time transfer function of the corresponding open loop as

$$G(z) = k_{\rm p}^{\rm ex} G'(z), \tag{13}$$

where

$$G'(z) = \frac{W_{S} W_{\xi} W_{0}(z)}{1 + C^{\text{in}}(z) W_{S} W_{\xi}(z)}.$$
 (14)

Applying the frequency stability criterion taken from [16] to (14) together with (15) we establish that the necessary and sufficient condition under which the closed loop will be stable is given by

$$0 < k_{\rm p}^{\rm ex} < -m, \tag{15}$$

where m is determined as

$$m = \min \{ \text{Re } G(e^{j\omega}) : \text{Im } G(e^{j\omega}) = 0 \}.$$
 (16)

## C. $\ell_1$ -Optimization

In order to choose the optimal parameters of both digital controllers, the  $\ell_1$ -optimization approach is utilized. According to this approach we establish that

$$\limsup_{n \to \infty} |e_{\gamma}(nT_0)| \le ||H^{\text{ex}}(k_{\tilde{N}})||_1 ||v^{\text{ex}}||_{\infty} + O(||\delta v||_{\infty}) < \infty,$$

$$(17)$$

where

$$H^{\text{ex}}(z, k_{\text{C}}) = \frac{1}{1 + C^{\text{in}}(z)W_{\text{S}}W_{\xi}(z) + C^{\text{in}}(z)C^{\text{ex}}(z) + W_{\text{S}}W_{\xi}W_{0}(z)}$$
(18)

depends on the vector  $k_{\rm C} = [k_{\rm P}^{\rm in}, k_{\rm I}^{\rm in}, k_{\rm P}^{\rm ex}]^{\rm T}$  of the controller parameters and  $||v^{\rm ex}||_{\infty}$  is the  $\infty$ -norm of  $\{v^{\rm ex}(nT_0)\}$  in which

$$v^{\text{ex}}(nT_0) = Z \left\{ L^{-1} \left\{ W_{\xi}(s) W_0(s) D(s) \right\}_{t=nT_0} \right\}$$

with  $D(s) = L\{d(t)\}$ . (Due to space limitation, details are omitted.)

According to (15), (16), the set  $\Omega^{\rm ex}$  of  $k_{\rm p}^{\rm ex}$ s guaranteeing the stability of the external loop for these  $k_{\rm p}^{\rm in}$ s and  $k_{\rm l}^{\rm in}$ s is bounded. Since  $\Omega^{\rm in}$  and  $\Omega^{\rm ex}$  are both bounded, it possible to utilize the well-known Weierstrass theorem [17, chap. 1, sect 3]. By virtue of this theorem, there exists some

$$k_{\rm C}^* = \arg\min_{k_{\rm C} \in \Omega^{\rm in} \times \Omega^{\rm ex}} ||H^{\rm ex}(k_{\rm C})||_1.$$
 (19)

minimizing  $\ell_1$ -norm of the transfer function (18) in  $k_c$ .

Taking (17) into account, we see that the choice of  $k_{\rm C}^*$  in accordance with (19) solves the  $\ell_{\rm I}$ -optimization problem formulated as the requirement (6). Unfortunately, the  $l_{\rm I}$ -norm of  $H^{\rm ex}(z,k_{\rm C})$  given by (26) is non-differentiable function with respect to the components  $k_{\rm P}^{\rm in}$ ,  $k_{\rm I}^{\rm in}$ ,  $k_{\rm P}^{\rm ex}$  of  $k_{\rm C}$ . Therefore, the random search technique taken from [17, chap. 6, item 4] is proposed to find the optimal parameter vector  $k_{\rm C}^*$ , defined in (19).

#### IV. ROBUSTNESS EVALUATION

Let the nominal (approximate) transfer function  $\tilde{W}_{\varepsilon}(s)$  in (1) be

$$\tilde{W}_{\xi}(s) = \frac{10.84s}{0.4926s + 1}$$

that corresponds to the following parameters of an aircraft:  $K_{\xi} = 10.84 \, \mathrm{s}^{-1}$  and  $T_{\xi} = 0.4926 \, \mathrm{s}$  (as in [12, equation (3.62)]). According to [12, sect. 4.2] the transfer function of aileron servo is given by

$$W_{\rm S}(s) = \frac{10}{s+10}$$

that corresponds to  $K_S = 1$ ,  $T_S = 0.1$ s in (2). Put  $T_0 = 0.01$ s.

Exploiting the discrete time counterpart of the Routh–Hurwitz stability criterion to the denominator of (12) and also (15) together with (16), the bounded stability region representing the De Cartesian product  $\Omega = \Omega^{\text{in}} \times \Omega^{\text{ex}}$  can be designed. It is the three-dimensional region covered by  $\Omega_0 \supset \Omega$ , where  $\Omega_0$  is an outer parallelepiped.

By using the random techniques of [17], the following vector of the  $\ell_1$ -optimal controller parameters was found:  $k_C^* = [4, 0.1, 3.9]^T$ .

To study the robustness properties of this  $\ell_1$ -optimal controller under the parametric uncertainty, we assumed that the parameters  $K_\xi$  and  $T_\xi$  are unknown but may vary within  $\underline{K}_\xi \leq K_\xi \leq \overline{K}_\xi$  and  $\underline{T}_\xi \leq T_\xi \leq \overline{T}_\xi$ , where  $\underline{K}_\xi = 8.672\,\mathrm{s}^{-1}$ ,  $\overline{K}_\xi = 12.47\,\mathrm{s}^{-1}$  ( $\underline{K}_\xi = 0.8K_\xi$ ,  $\overline{K}_\xi = 1.15K_\xi$ ) and  $\underline{T}_\xi = 0.468\,\mathrm{s}$ ,  $\overline{T}_\xi = 0.591\,\mathrm{s}$  ( $\underline{T}_\xi = 0.95T_\xi$ ,  $\overline{T}_\xi = 1.2T_\xi$ ), respectively. The parametric uncertainty region corresponding to these ranges defined as  $\Xi := [\underline{K}_\xi, \overline{K}_\xi] \times [\underline{T}_\xi, \overline{T}_\xi]$  is depicted in Fig. 2.

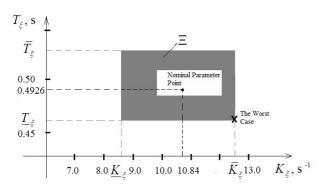


Fig. 2. Parameter uncertainty region

To evaluate the performance index of the control system containing the  $\ell_1$ -optimal controller without and with uncertainties, two simulation experiments were conducted. In these experiments, variable d(t) similar to the wind gust was simulated as Dryden Wind Turbulence Model.

It turned out that if the parametric uncertainty is present then the worst case (in the sense of robust stability) is:  $K_{\xi} = \overline{K}_{\xi}$ ,  $T_{\xi} = \underline{T}_{\xi}$  (see Fig. 2). Simulation results corresponding to the absence and the presence of this uncertainty are presented in Fig. 3.

To study the robustness properties of the  $\ell_1$ -optimal controller under nonparametric uncertainty,

$$\tilde{W}_{\xi}(s) = \frac{0.171s(s+18.75)(s+0.15)}{(s^2+0.380s+1.813)(s+2.09)(s-0.004)}$$

taken from [12, equation 3.51) was set (instead of the previous  $\tilde{W}_{\xi}(s)$ ). Simulation results corresponding to this case are given in Fig. 4.

Figures 3 and 4 show that the behavior of the  $\ell_1$ -optimal control system in both situations is satisfactory.

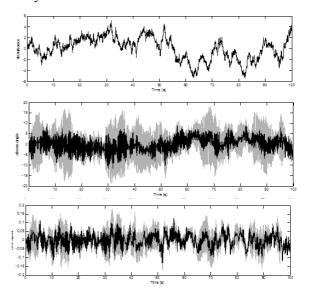


Fig. 3. Behavior of  $\ell_1$ -optimal lateral autopilot without (black color) and with (gray color) parametric uncertainty

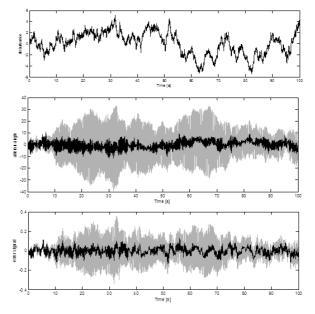


Fig. 4. Behavior of  $\ell_1$ -optimal lateral autopilot without (black color) and with (gray color) nonparametric uncertainty

It follows from the results of [11] that the stability region  $\Omega$  may be empty if the sampling period  $T_0$  is sufficiently large. To verify this fact, we investigated the stability properties of the control system considered is simulation example for different values of  $T_0$ . Results of this investigation are presented in Fig. 5.

Figure 5 demonstrates that the simulated control system becomes unstable if  $T_0$  exceeds the value equal to 0.0126 s whereas it remains stable for  $T_0 < 0.0126$  s.

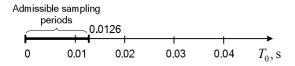


Fig. 5. Region of addmissible  $T_0$ s under which the autopilot system remains stable

#### V. CONCLUSION

The synthesis and analysis of a digital autopilot which is able to maintain a given roll orientation of an aircraft with a desired accuracy and to cope with an arbitrary external disturbance (a gust) were addressed in this paper. The digital autopilot was chosen as the  $\ell_1$ -optimal controller containing the discrete-time PI and P controller parts.

It was established that the  $\ell_1$ -optimal lateral autopilot may be robust in the presence both of parametric and of nonparametric uncertainties.

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Розглянуто задачу побудови  $\ell_1$ -оптімального цифрового автопілоту, необхідного для керування креном деякого літального апарату за наявності довільних невимірювальних збурень. Автопілот має забезпечувати бажане керування бічним рухом шляхом мінімізації верхньої межі абсолютного значення різниці між заданим й істинним кутом крену. Це здійснюється двома цифровими  $\ell_1$ -оптімальними регуляторами ПІ-типу. Головний результат полягає у встановленні того факту, що автопілот може бути робастним за наявності параметричних і непараметричних невизначеностей.

**Ключові слова**: літальний апарат; динаміка бічного руху; цифрова система керування; дискретній час; стійкість; *t*<sub>1</sub>-оптімізація; робастність.

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# В. Н. Азарсков, Л. С. Житецкий, А. Ю. Пильчевский, К. Ю. Соловчук. Свойства робастности 41-оптимального автопилота бокового движения ПИ-типа

Рассмотрена задача построения  $\ell_1$ -оптимального цифрового автопилота, необходимого для управления креном некоторого летального аппарата при произвольных неизмеряемых возмущениях. Автопилот должен обеспечивать желаемое управление боковым движением путем минимизации верхней границы абсолютного значения разности между заданным и истинным углом крена. Это осуществляется двумя цифровыми  $\ell_1$ -оптимальными регуляторами ПИ-типа. Главный результат заключается в установлении того факта, что автопилот может быть робастным при наличии параметрических и непараметрических неопределенностей.

**Ключевые слова:** летательный аппарат, динамика бокового движения, цифровая система управления, дискретное время, устойчивость, *ℓ*<sub>1</sub>-оптимизация, робастность.

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